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*Holding announcement according to article 135 section 2 BörseG (ESMA 2015/1597)*

## **BAWAG Group AG: Release according to article 135 section 2 BörseG**

Vienna (pta030/24.01.2024/18:00 UTC+1) - Release of Announcement according to article 135 section 2 BörseG

*Notification of Major Holdings*

### **1. Issuer**

BAWAG Group AG, Wiedner Gürtel 11, 1100 Wien, Austria

### **2. Reason for notification**

Acquisition / disposal of shares with voting rights

### **3. Details of person subject to the notification obligation**

Name: Wellington Management Group LLP

City and country of registered office: Boston, United States of America (USA)

### **4. Names of shareholders, if different from declarants (3)**

### **5. Date on which threshold was crossed or reached**

23.01.2024

### **6. Total positions**

|   | <b>% of voting rights attached to shares (total of 7.a.)</b> | <b>% of voting rights through instruments (total of 7.b.1 + 7.b.2)</b> | <b>total of both in % (7.a. + 7.b.)</b> | <b>total number of voting rights of issuer</b> |
|---|--|--|---|--|
| <b>Resulting situation on the date on which threshold was crossed / reached</b> | 4,95   | 0,01   | 4,96                                    | 78,600,000                                     |
| <b>Position of previous notification</b>  | 5,00   | 0,01   | 5,01                                    |  |

### **7. Notified details of the resulting situation**

#### **7.A: Voting rights attached to shares**

| <b>ISIN code</b> | <b>absolute direct (Sec 130 BörseG 2018)</b> | <b>absolute indirect (Sec 133 BörseG 2018)</b> | <b>in % direct (Sec 130 BörseG 2018)</b> | <b>in % indirect (Sec 133 BörseG 2018)</b> |
|------------------|--|--|--|--|
| AT0000BAWAG2 0   | 3,895,438                                    |  | 0.00                                     | 4.95                                       |
| <b>Total:</b>    | <b>3,895,438</b>                             |  |  | <b>4.95</b>                                |

#### **7.B.1: Financial / Other Instruments pursuant to Sec. 131 para. 1 No. 1 BörseG 2018**

| <b>type of instrument</b> | <b>Maturity / Expiration</b> | <b>Exercise or conversion period</b> | <b>Voting Rights Absolute</b> | <b>Voting Rights in %</b> |
|---------------------------|------------------------------|--------------------------------------|-------------------------------|---------------------------|
|                           |                              | <b>Total:</b>                        | <b>0</b>                      | <b>0</b>                  |

#### **7.B.2: Financial / Other Instruments pursuant to Sec. 131 para. 1 No. 2 BörseG 2018**

| type of instrument | Maturity / Expiration | Exercise or conversion period | Cash or physical transaction | Voting Rights Absolute | Voting Rights in % |
|--------------------|-----------------------|-------------------------------|------------------------------|------------------------|--------------------|
| Total Return Swap  | 20/05/2033            | N/A                           | Cash                         | 4669                   | 0.01               |
|                    |                       |                               | <b>Total:</b>                | <b>4,669</b>           | <b>0.01</b>        |

## 8. Information in relation to the person subject to the notification obligation

Full chain of controlled undertakings through which the voting rights and/or the financial/other instruments are effectively held starting with the ultimate controlling natural person or legal entity.

| No. | Name  | Directly controlled by No. | Shares held directly (%) | Financial/other instruments held directly (%) | Total of both (%) |
|-----|---|----------------------------|--------------------------|---|-------------------|
| 1   | Wellington Management Group LLP             |                            | n/a                      | n/a   | n/a               |
| 2   | Wellington Group Holdings LLP               | 1                          | n/a                      | n/a   | n/a               |
| 3   | Wellington Investment Advisors Holdings LLP | 2                          | n/a                      | n/a   | n/a               |
| 4   | Wellington Management Global Holdings, Ltd. | 3                          | n/a                      | n/a   | n/a               |
| 5   | Wellington Management Hong Kong Ltd         | 4                          | 0,59                     | 0   | 0,59              |
| 6   | Wellington Management International Limited | 4                          | 1,55                     | 0,01  | 1,56              |
| 7   | Wellington Management Europe GmbH           | 4                          | 0                        | 0   | 0                 |
| 8   | Wellington Management Company LLP           | 3                          | 2,8                      | 0   | 2,8               |

## 9. In case of proxy voting

Date of general meeting: N/A

Voting rights after general meeting:

## 10. Other useful information

|                           |  |
|---------------------------|--|
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| <b>ISIN(s):</b>           | AT0000BAWAG2 (Share)   |
| <b>Stock Exchange(s):</b> | Vienna Stock Exchange (Official Trade)   |



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