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*Holding announcement according to article 135 section 2 BörseG (ESMA 2015/1597)*

## **BAWAG Group AG: Release according to article 135 section 2 BörseG**

Vienna (pta/06.09.2023/18:30 UTC+2) - Release of Announcement according to article 135 section 2 BörseG

*Notification of Major Holdings*

### **1. Issuer**

BAWAG Group AG, Wiedner Gürtel 11, 1100 Wien, Austria

### **2. Reason for notification**

Acquisition / disposal of shares with voting rights

Acquisition / disposal of instruments

### **3. Details of person subject to the notification obligation**

Name: BlackRock, Inc.

City and country of registered office: Wilmington, United States of America (USA)

### **4. Names of shareholders, if different from declarants (3)**

### **5. Date on which threshold was crossed or reached**

4.09.2023

### **6. Total positions**

	<b>% of voting rights attached to shares (total of 7.a.)</b>	<b>% of voting rights through instruments (total of 7.b.1 + 7.b.2)</b>	<b>total of both in % (7.a. + 7.b.)</b>	<b>total number of voting rights of issuer</b>
<b>Resulting situation on the date on which threshold was crossed / reached</b>	4,51	0,52	5,03	82,500,000
<b>Position of previous notification</b>	4,43	0,51	4,95	

### **7. Notified details of the resulting situation**

#### **7.A: Voting rights attached to shares**

<b>ISIN code</b>	<b>absolute direct (Sec 130 BörseG 2018)</b>	<b>absolute indirect (Sec 133 BörseG 2018)</b>	<b>in % direct (Sec 130 BörseG 2018)</b>	<b>in % indirect (Sec 133 BörseG 2018)</b>
AT0000BAWAG2 0	3,720,894		0.00	4.51
<b>Total:</b>	<b>3,720,894</b>			<b>4.51</b>

#### **7.B.1: Financial / Other Instruments pursuant to Sec. 131 para. 1 No. 1 BörseG 2018**

<b>type of instrument</b>	<b>Maturity / Expiration</b>	<b>Exercise or conversion period</b>	<b>Voting Rights Absolute</b>	<b>Voting Rights in %</b>
		<b>Total:</b>	<b>0</b>	<b>0</b>

#### **7.B.2: Financial / Other Instruments pursuant to Sec. 131 para. 1 No. 2 BörseG 2018**

<b>type of instrument</b>	<b>Maturity / Expiration</b>	<b>Exercise or conversion period</b>	<b>Cash or physical transaction</b>	<b>Voting Rights Absolute</b>	<b>Voting Rights in %</b>
CFD	N/A	N/A	Cash	425443	0.52
			<b>Total:</b>	<b>425,443</b>	<b>0.52</b>

## 8. Information in relation to the person subject to the notification obligation

Full chain of controlled undertakings through which the voting rights and/or the financial/other instruments are effectively held starting with the ultimate controlling natural person or legal entity.

<b>No.</b>	<b>Name</b>	<b>Directly controlled by No.</b>	<b>Shares held directly (%)</b>	<b>Financial/other instruments held directly (%)</b>	<b>Total of both (%)</b>
1	BlackRock, Inc.		n/a	n/a	n/a
2	BlackRock Holdco 2, Inc.	1	n/a	n/a	n/a
3	BlackRock Financial Management, Inc.	2	n/a	n/a	n/a
4	BlackRock International Holdings, Inc.	3	n/a	n/a	n/a
5	BR Jersey International Holdings L.P.	4	n/a	n/a	n/a
6	BlackRock Australia Holdco Pty. Ltd.	5	n/a	n/a	n/a
7	BlackRock Investment Management (Australia) Limited	6	n/a	n/a	n/a
8	Trident Merger, LLC	1	n/a	n/a	n/a
9	BlackRock Investment Management LLC	8	n/a	n/a	n/a
10	BlackRock (Singapore) Holdco Pte. Ltd.	5	n/a	n/a	n/a
11	BlackRock HK Holdco Limited	10	n/a	n/a	n/a
12	BlackRock Asset Management North Asia Limited	11	n/a	n/a	n/a
13	BlackRock Lux Finco S.à r.l.	11	n/a	n/a	n/a
14	BlackRock Japan Holdings GK	13	n/a	n/a	n/a
15	BlackRock Japan Co., Ltd.	14	n/a	n/a	n/a
16	BlackRock (Singapore) Limited	10	n/a	n/a	n/a
17	BlackRock Holdco 3, LLC	5	n/a	n/a	n/a
18	BlackRock Cayman 1 LP	17	n/a	n/a	n/a
19	BlackRock Cayman West Bay Finco Limited	18	n/a	n/a	n/a
20	BlackRock Cayman West Bay IV Limited	19	n/a	n/a	n/a
21	BlackRock Group Limited	20	n/a	n/a	n/a
22	BlackRock Finance Europe Limited	21	n/a	n/a	n/a
23	BlackRock Investment Management (UK) Limited	22	n/a	n/a	n/a
24	BlackRock International Limited	21	n/a	n/a	n/a
25	BlackRock (Netherlands) B.V.	22	n/a	n/a	n/a
26	BlackRock Asset Management Deutschland AG	25	n/a	n/a	n/a
27	BlackRock Advisors (UK) Limited	22	n/a	n/a	n/a
28	BlackRock Capital Holdings, Inc.	3	n/a	n/a	n/a

29	BlackRock Advisors, LLC	28	n/a	n/a	n/a
30	BlackRock Canada Holdings LP	17	n/a	n/a	n/a
31	BlackRock Canada Holdings ULC	30	n/a	n/a	n/a
32	BlackRock Asset Management Canada Limited	31	n/a	n/a	n/a
33	BlackRock Holdco 4, LLC	3	n/a	n/a	n/a
34	BlackRock Holdco 6, LLC	33	n/a	n/a	n/a
35	BlackRock Delaware Holdings Inc.	34	n/a	n/a	n/a
36	BlackRock Fund Advisors	35	n/a	n/a	n/a
37	BlackRock Institutional Trust Company, National Association	35	n/a	n/a	n/a
38	Amethyst Intermediate LLC	9	n/a	n/a	n/a
39	Aperio Holdings LLC	38	n/a	n/a	n/a
40	Aperio Group, LLC	39	n/a	n/a	n/a

## 9. In case of proxy voting

Date of general meeting: N/A

Voting rights after general meeting:

## 10. Other useful information

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**ISIN(s):** AT0000BAWAG2 (Share)

**Stock Exchange(s):** Vienna Stock Exchange (Official Trade)



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