

INFORMATION ON DATA PROTECTION

As a controller, BAWAG Group AG processes the following categories of personal data of shareholders (hereafter “**Data**”):

- Name, address, date of birth, number of the securities account, class and number of shares and number of the voting card of each of the shareholders.
- Name and date of birth of any proxy of the shareholders.

The source of this Data is the information provided either by the shareholders themselves (respectively, their proxies) or by the (custodian) banks.

Processing is carried out for the purpose of enabling shareholders to exercise their rights within the framework of the extraordinary general meeting (being held as virtual general meeting) as well as for the purpose of complying with legal requirements and to raise or defend against potential legal claims. The legal basis for the processing is the fulfilment of legal obligations, namely, in particular, the verification of the right to participate including the right to vote at the Annual General Meeting (Art. 6(1)(c) General Data Protection Regulation in conjunction with Section 106 No 5, Section 111 et seq. Austrian Stock Corporation Act).

The Data is transferred to the following **categories of recipients**:

- To external service companies to fulfil the processing purpose set out above (in particular the general meeting service, notary and legal advisor);
- to all persons attending the extraordinary general meeting with the legal right to attendance for purposes of the directory of attendees to be provided pursuant to mandatory law (Section 117 Austrian Stock Corporation Act).
- to the competent commercial register court due to the legal obligation to submit personal shareholder data as part of the notarial protocol to the commercial register (Section 120 Austrian Stock Corporation Act), whereas the commercial register court makes this protocol available for the public in the electronic record of documents (*Urkundensammlung*) of the commercial register.
- as the case may be, to authorities and courts to clarify and enforce claims in individual cases.

The Data will only be transferred to countries outside the EU for which the EU Commission has decided that they have an adequate level of data protection, or we will take measures to ensure that all recipients have an adequate level of data protection (further information is available on request).

The Data will only be stored for as long as is necessary to achieve the processing purpose set out above or as long as there are statutory retention obligations or as long as the Data is required to raise or defend against potential legal claims which are not yet time-barred.

In accordance with the applicable law, every shareholder may, with respect to the Data concerning him/her, at any time exercise their right to **access, rectification, restriction and erasure** as well as their **right to data portability**. Shareholders of BAWAG Group AG may exercise these rights at no charge by using the following contact details:

BAWAG Group AG

c/o MMag. Barbara Wagner

E-Mail: datenschutz@bawagpsk.com

Fax: +43 / (0) 59905-23334

Data protection officer: MMag. Barbara Wagner

In addition, shareholders may lodge a complaint with the supervisory authority (e.g. the Austrian *Datenschutzbehörde*).

Relevant information on data protection can also be found at <http://www.bawagpsk.com>.